



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/745,008	12/20/2000	Marina Chuenkova	1322.1028-001

CONFIRMATION NO. 7228

FORMALITIES LETTER



OC000000005796961

N. Scott Pierce, Esq.
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.
Two Militia Drive
Lexington, MA 02421-4799

Date Mailed: 02/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$858.
 - \$378 for 21 total claims over 20.
 - \$480 for 6 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1698.

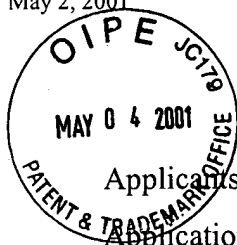
A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/07/2001 AGOITOM 00000018 09745008
01 FC:201 355.00 OP
02 FC:205 65.00 OP
03 FC:203 189.00 OP
04 FC:202 240.00 OP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Marina Chuenkova and Miercio A. Pereira

Application No.: 09/745,008

Group: 1646

Filed: December 20, 2000

For: T. CRUZI-DERIVED NEUROTROPHIC AGENTS AND METHODS OF
USE THEREFOR

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>5-2-01</u>	<u>Sandra Sammal</u>
Date	Signature
<u>Sandra Sammal</u>	
Typed or printed name of person signing certificate	

REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In reply to the Notice to File Missing Parts dated February 26, 2001, the following documents and fees are being submitted for filing in the captioned application. A copy of the Notice is attached.

[X] **EXECUTED DECLARATION/POWER OF ATTORNEY**
(Separate transmittal letter and postcard not required)

[X] **FILING FEE** - with Fee Transmittal for Patent Applications in duplicate.
(Separate transmittal letter and postcard not required)

[X] **SURCHARGE** - surcharge fee of \$130.00.
(Separate transmittal letter and postcard not required)

[X] **SEQUENCE LISTING** - Filed concurrently and is attached.
(Separate transmittal letter and postcard required)

☐ **TRANSMITTAL OF SUBSTITUTE DRAWINGS**

☐ Sheets of substitute drawings consisting of Figs. 1 - ☐ are enclosed.

(Separate transmittal letter and postcard not required)

☒ **PETITION FOR EXTENSION OF TIME**

☒ Applicant hereby petitions to extend the time to respond to the Notice to File Missing Parts dated February 26, 2001 for one month from April 26, 2001 to May 26, 2001. The appropriate fee of \$55.00 is included in the enclosed check.

☐ A ☐ month extension of time to respond to the Notice to File Missing Parts dated ☐ was filed on ☐ with payment of a \$☐ fee.

☐ Applicant hereby petitions for an additional ☐ month extension of time to respond to the Notice to File Missing Parts. The appropriate fee of \$☐ is included in the enclosed check.

(Separate Petition for Extension of Time and postcard not required)

☐ **REQUEST FOR CORRECTED FILING RECEIPT** - Filed concurrently and is attached.

(Separate transmittal letter and postcard required)

☒ **STATEMENT CLAIMING SMALL ENTITY STATUS**

☐ Was filed on ☐.

☒ Is enclosed herewith.

(Separate transmittal letter and postcard not required)

☐ In view of the small entity status of the captioned application, we hereby request a reimbursement of 50% of the filing fees in the amount of \$☐ which were paid on ☐ to be deposited in Deposit Account No. 08-0380.

The fees required for filing the indicated documents are enclosed in the form of a check in the total amount of \$969.00. Please charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 08-0380. A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By 

Robert H. Underwood

Registration No.: 45,170

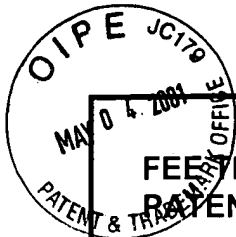
Tel.: (781) 861-6240

Fax: (781) 861-9540

Lexington, Massachusetts 02421-4799

Date:

May 2, 2001



HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

FEE TRANSMITTAL FOR
PATENT APPLICATIONS

Attorney Docket Number	1322.1028-001
Application Number	09/745,008
First Named Inventor	Marina Chuenkova

CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	41 - 20* =	21	x \$ 18 =	\$ 378
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	9 - 3** =	6	x \$ 80 =	\$ 480
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 270 =	\$
				BASIC FEE (37 CFR 1.16(a) or (h))	\$ 710
				Total of above Calculations =	\$ 1568
	Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =				\$ 784
	TOTAL =				\$ 784
	Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =				\$ 130
	Petition for Extension of Time Fee (37 C.F.R. 1.17) =				\$ 55
				Assignment Recordation Fee = (only when filed with application)	\$
	* Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent			TOTAL =	\$ 969

1. Small entity status:

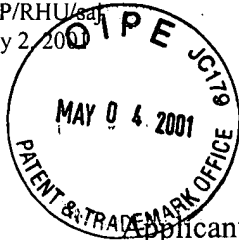
- a. ☒ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$969.00. ☐ Please charge \$[] to Deposit Account No. 08-0380.

4. ☐ Other: _____

Signature	<i>Robert H. Underwood</i>	Date	<i>May 2, 2001</i>
Submitted by Typed or Printed Name	Robert H. Underwood	Reg. Number	45,170



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Marina Chuenkova and Miercio A. Pereira

Serial No.: 09/745,008

Group Art Unit: 1646

Filed: December 20, 2000

For: T. CRUZI-DERIVED NEUROTROPHIC AGENTS AND METHODS OF
USE THEREFOR

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>5-2-01</u>	<u>Sandra Sammal</u>
Date	Signature
<u>Sandra Sammal</u>	
Typed or printed name of person signing certificate	

TRANSMITTAL OF SEQUENCE LISTING AND
PRELIMINARY AMENDMENT IN REPLY TO
NOTICE TO FILE MISSING PARTS

Box Sequence

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

This paper is submitted in response to the Notice to File Missing Parts of
Application, mailed from the Patent Office on February 26, 2001. A copy of the Notice
is attached.

Applicant's Agent requests an extension of time to respond to the Notice. A
Petition for Extension of Time and the appropriate fees are being filed concurrently.

09/745,008-050403

Transmitted herewith is a copy of the "Sequence Listing" (sheets 1/15 through 15/15) in paper form for the above-identified patent application as required by 37 C.F.R. §1.821(c) and a copy of the "Sequence Listing" in computer readable form as required by 37 C.F.R. §1.821(e). As required by 37 C.F.R. §1.821(f), Applicant's Agent hereby states that the content of the "Sequence Listing" in paper form and the computer readable form of the "Sequence Listing" are the same and, as required by 37 C.F.R. §1.821(g), also states that the submission includes no new matter.

Applicant's Agent submits the following amendments to comply with 37 C.F.R. §1.825:

In the Specification

Please insert the attached "Sequence Listing" (sheets 1/15 through 15/15), comprising SEQ ID NOS: 1-34, into the above-referenced application.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Robert H. Underwood

Robert H. Underwood

Registration No. 45,170

Telephone (781) 861-6240

Facsimile (781) 861-9540

Lexington, Massachusetts 02421-4799

Date: May 2, 2001

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